

CONSOLIDATED BALANCE SHEET

As of 30th Jun, 2014

Unit: VND

Assets	Code	EXP	Ending Balance	Beginning Balance
A. CURRENT ASSETS (100=110+120+130+140+150)	100		3.110.495.210.055	2.768.235.282.081
I. Cash and cash equivalents	110	V.01	874.869.669.434	1.007.873.885.140
1. Cash	111		874.869.669.434	1.007.873.885.140
2. Cash equivalents	112		-	-
II. Short-term financial investments	120		691.697.645.978	352.083.430.831
1. Short-term investments	121	V.02	737.139.249.568	397.465.522.487
2. Short-term investment of trustee investment	122	V.03	-	-
3. Provision for devaluation of short-term investments (*)	129	V.02	(45.441.603.590)	(45.382.091.656)
III. Short-term receivables	130	V.08	1.536.906.856.543	1.402.016.293.670
1. Trade accounts receivable	131		1.377.686.405	2.252.423.377
2. Advances to supplier	132		890.596.641	110.262.322
3. Short-term internal receivables	133		-	-
4. Receivable in accordance with contracts in progress	135		1.539.918.739.668	1.390.070.574.094
5. Other receivables	138		18.035.798.923	32.898.998.971
6. Provision for short-term bad receivables (*)	139		(23.315.965.094)	(23.315.965.094)
IV. Inventories	140		-	-
V. Other current assets	150		7.021.038.100	6.261.672.440
1. Short-term prepaid expenses	151		5.742.253.468	6.110.580.511
2. VAT deductible	152		-	-
3.Tax and accounts receivable from State budget			1.046.977.650	-
4. Trading Government bonds	154		-	-
5. Other current assets	158		231.806.982	151.091.929
Assets	Code	EXP	Ending Balance	Beginning Balance
B. LONG-TERM ASSETS (200=210+220+240+250+260)	200		130.015.438.076	359.545.786.841
I. Long-term receivables	210		-	-
1. Long-term receivables from customers	211		-	-
2. Capital receivable from subsidiaries	212		-	-
3. Long-term inter-company receivables	213		-	-
4. Other long-term receivables	218		-	-
5. Provision for long-term bad receivable (*)	219		-	-
II. Fixed assets	210		6.949.699.825	7.370.462.750
1. Tangible fixed assets	221	V.06	4.991.180.173	5.685.717.561
- Historical cost	222		39.308.033.678	38.432.189.860
- Accumulated depreciation (*)	223		(34.316.853.505)	(32.746.472.299)
2. Finance leases fixed assets	224		-	-
- Historical cost	225		-	-
- Accumulated depreciation (*)	226		-	-
3. Intangible fixed assets	227	V.07	1.958.519.652	1.684.745.189
- Historical cost	228		22.759.878.017	21.963.858.667
- Accumulated depreciation (*)	229		(20.801.358.365)	(20.279.113.478)
4. Construction in progress expenses	230		-	-
III. Property Investment	240		-	-
- Historical cost	241		-	-
- Accumulated depreciation (*)	242		-	-
IV. Long-term financial investments	250	V.02	-	229.370.665.250
1. Investment in subsidiary company	251		-	-
2. Investment in joint venture	252		-	-
3. Long-term security investments	253		-	229.370.665.250
4. Other long-term investment	258		-	-
5. Provision for devaluation of long-term finance investments (*)	259		-	-
V. Other long-term assets	260		123.065.738.251	122.804.658.841
1. Long-term prepaid expenses	261	V.04	105.542.399.887	107.738.117.777
2. Deferred income tax assets	262		-	-
3. Deposit fund payment	263	V.05	14.332.583.310	11.832.583.310
4. Others	268		3.190.755.054	3.233.957.754
TOTAL ASSETS ( 270=100+200)	270		3.240.510.648.131	3.127.781.068.922

CAPITAL SOURCE	Code	EXP	Ending Balance	Beginning Balance
A. LIABILITIES (300=310+330)	300		955,028,395.350	880,432,875.754
I. Current liabilities	310		955,028,395.350	880,432,875.754
1. Short-term borrowing and debts	311	V.13	-	-
2. Trade accounts payable	312	V.10	862,127,309	1,464,675,431
3. Advances from customers	313	V.11	-	-
4. Taxes and liabilities to State budget	314	V.12	30,275,198,643	39,092,023,179
5. Payable to employees	315		-	-
6. Payable expenses	316	V.09	16,018,926,029	20,041,416,663
7. Accounts payable-Affiliate	317		-	-
8. Payable to securities transactions	320		692,991,829,583	584,090,981,306
9. Payable to dividend, original capital and interest bonds	321		4,085,379,777	6,175,409,201
10. Payable to organization issuing securities	322		-	-
11. Bonus and welfare fund			10,576,860,480	6,443,177,708
12. Other short-term payables	328	V.14	8,483,614,008	217,478,509,568
13. Traded government bonds again			191,734,459,521	5,646,682,698
14. Unrealised revenue			-	-
15. Provision for short-term liabilities	329		-	-
II. Long-term liabilities	330		-	-
1. Long-term accounts payable-Trade	331		-	-
2. Long-term accounts payable-Affiliate	332		-	-
3. Other long-term payables	333		-	-
4. Long-term borrowing and debts	334		-	-
5. Capital trustee received long-term investment	335	V.15	-	-
6. Deferred income tax	336		-	-
7. Provision for unemployment benefit	337		-	-
8. Provision for long-term liabilities	338		-	-
9. Provision for compensation for investors	339		-	-
B. OWNER'S EQUITY (400=410+430)	400		2,285,482,252.781	2,247,348,193.168
I. Capital sources and funds	410	V.16	2,285,482,252.781	2,247,348,193.168
1. Paid-in capital	411		1,272,567,580,000	1,272,567,580,000
2. Capital surplus	412		310,343,798,499	310,343,798,499
3. Other capital of owner	413		-	-
4. Treasury stock (*)	414		(326,727,318)	(123,551,893)
5. Assets revaluation difference	415		-	-
6. Foreign exchange difference	416		-	-
7. Investment and development funds	417		3,961,374,994	3,961,374,994
8. Financial reserve fund	418		144,626,530,513	144,626,530,513
9. Other fund belong to owner's equity	419		-	-
10. Retained after-tax profit	420		554,309,696,093	515,972,461,055
MINARITY INTEREST			-	-
TOTAL RESOURCES	440		3,240,510,648.131	3,127,781,068,922

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CONSOLIDATED INCOME STATEMENT

Quarter 2/2014

Unit: VND

No.	Items	Code	Accumulation	
			Current year	Previous year
1	2	3	4	7
1	Revenue of sales and services	01	422.512.100.437	293.851.917.420
	<i>Include:</i>			
	- Revenue per active stockbroker		132.742.723.586	91.344.856.470
	- Revenue activities Investment securities, capital contribution		130.560.924.541	58.354.533.536
	- Revenue guarantees issued securities		-	-
	- Revenue agents issuing securities		-	-
	- Revenue Management category of investment company fund management		-	-
	- Revenue from the operation		7.763.211.259	1.308.209.168
	- Revenue save up stocks		1.758.133.077	1.909.529.900
	- Revenue activities trustee auction		-	-
	- Revenue for the use tax assets		-	-
	- Others revenue		149.687.107.974	140.934.788.346
2	Deductions	02	-	-
3	Net sales and services (10=01 - 02)	10	422.512.100.437	293.851.917.420
4	Cost of goods sold	11	105.350.926.420	85.798.059.540
5	Gross profit	20	317.161.174.017	208.053.857.880
6	General and Administrative Expenses	25	41.961.191.185	43.571.314.887
7	Net operating profit (30=20-25)	30	275.199.982.832	164.482.542.993
8	Other Income	31	4.090.909	80.153.424
9	Other Expenses	32	-	-
10	Other profit (40=31-32)	40	4.090.909	80.153.424
11	Profit from joint venture	41	-	-
12	Total profit before tax (50=30+40+41)	50	275.204.073.741	164.562.696.417
13	Current corporate income tax expenses	51	60.206.373.490	40.761.461.712
14	Deferred corporate income tax expenses	52	-	-
15	Profit after tax (60=50-51-52)	60	214.997.700.251	123.801.234.705
	15.1 Profit after tax of minorities		-	-

CONSOLIDATED CASH FLOW STATEMENT

(Indirect method)  
Quarter 2/2014

Unit: VND

No.	Items	Code	Accumulation	
			Current year	Previous year
I.	CASH FLOWS FROM BUSINESS SECURITIES			
1.	Profit before tax	01	275.204.073.741	164.562.696.417
2.	Adjusted for the:			
-	Depreciation of fixed assets	02	2.092.626.093	4.990.836.803
-	The back-up	03	59.511.934	(5.375.425.707)
-	Interest, loss difference exchange rates not	04	-	-
-	Interest, loss investment activities	05	(50.078.265.971)	(91.588.426.651)
-	Loan interest expenses	06	2.276.408.200	15.545.228.526
3.	Profit from operating activities	08	229.554.353.997	88.134.909.388
-	Increase, decrease receivables	09	(149.394.415.047)	(917.729.636.248)
	Increase, reduce inventor		(339.673.727.081)	-
-	Increase, reduce short-term securities	10	-	-
-	Increase, reducing the pay	11	76.707.331.195	1.010.001.923.789
-	Increase, reduce costs prepaid	12	2.564.044.933	2.278.415.939
-	Interest loan funds have	13	(2.478.698.247)	(11.037.710.579)
	Corporate income tax was filed	14	(67.811.453.347)	(30.183.476.956)
-	Cash received from operating activities	15	-	-
-	Orther cash paid from operating activities	16	(18.777.900.921)	(10.430.591.600)
	Net cash flows from operating activities	20	(269.310.464.518)	131.033.833.733
II.	CASH FLOWS FROM INVESTING ACTIVITIES:			
1.	Cash paid for purchase of capital assets and other long-term assets	21	(1.671.863.168)	(759.941.976)
2.	Cash received from liquidation or disposal of capital assets and other long-term assets	22	-	139.600.000
3.	Cash paid for lending or purchase debt tools of other companies	23	-	(649.883.768.476)
4.	Withdrawal of lending or resale debt tools of other companies	26	234.977.855.075	414.416.609.590
5.	Cash paid for joining capital in other companies	27	-	-
6.	Withdrawal of capital in other companies	28	-	-
7.	Cash received from interest, dividend and distributed profit	29	55.427.950.670	76.909.271.191
	Net cash used in investing activities	30	288.733.942.577	(159.178.229.671)
III.	CASH FLOWS FROM FINANCING ACTIVITIES:			
1.	Cash received from issuing stock, other owners' equity	31	-	-
2.	Cash paid to owners equity, repurchase issued stock	32	(3.175.425)	(955.260)
3.	Cash received from long-term and short-term borrowings	33	-	-
4.	Return of capital trustee to trustee investment	34	-	-
5.	Cash paid to principal debt	35	-	-
6.	Cash paid to financial lease debt	36	-	-
7.	Dividend, profit paid for owners	37	(152.424.518.340)	(150.487.757.250)
	Net cash (used in) provided by financing activities	40	(152.427.693.765)	(150.488.712.510)
	Net cash during the period	50	(133.004.215.706)	(178.633.108.448)
	Cash and cash equivalents at beginning of year	60	1.007.873.885.140	1.794.202.976.893
	Influence of foreign exchange fluctuation	61	-	-
	Cash and cash equivalents at end of year	70	874.869.669.434	1.615.569.868.445